

Format of holding of specified securities

Annexure - I

- 1 Name of Listed Entity : GENUS PAPER & BOARDS LTD.
- 2 Scrip Code/Name of Scrip/Class of Security :
- 3 Share Holding Pattern Filed under: Reg. 31(1) (b) : Quarter Ended 30/09/2016
- 4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipt are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	

- 5 The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities

Category (i)	Category of shareholder (ii)	Nos. of shareholder (iii)	No. of fully paid- up equity shares held (iv)	No. of partly paid-up equity shares held (v)	No. of shares underlying depository receipt (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (ix)			Total as a % of (A+B+C)	No. of Shares Underlying convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii)+(x) as a % of (A+B+C)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
								Class eqy x	Class eqy y	Total				No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group	47	129806754	0	0	129806754	50.484											
(B)	Public	14867	127319186	0	0	127319186	48.516											129806754
(C)	Non Promoter - Non Public																	0
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	TOTAL	14914	257125940	0	0	257125940	100.000	257125940	0	257125940	100.000	0	0.000	3300000	2.542	253544185		





Table 1 - Statement showing shareholding pattern of the Promoter and Promoter Group

(A)	Category & Name of shareholders (B)	PAN (C)	Nos. of shareholders (D)	Nos. of fully paid-up equity shares held (E)	Nos. of partly paid-up equity shares held (F)	Total nos. shares held (G) = (E)+(F)+(H)	Shareholding as per SCRR, 1957 As a % of (A)+(B)+(C)+(E)+(F)+(G) (I)	Number of Voting Rights held in each class of securities (J)			Total as a % of Total Voting Rights (K)	No. of Square Outstanding securities (excluding Depository Receipt) (L)	Shareholding as a % of the entire share capital (M)	Number of Locked in shares (N)		Number of Shares pledged or otherwise encumbered (O)	As a % of Total Shares Held (P)	Number of equity shares held in dematerialised form (Q)	
								Class 1 (J1)	Class 2 (J2)	Total (J3)				No. of Locked in shares (N1)	As a % of Total Shares Held (N2)				
(1)	Individuals/ Hindu Undivided Family		37	69,19,527	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000
	ADITYA TOOI	AENP75144G	0	19000	0	0	0.008	0	0	0	0.006	0	0.006	0	0.000	0	0.000	4,769	0.000
	AMIT AGARWAL	AAHHA1308R	0	146150	0	0	0.057	0	0	0	0.057	0	0.057	0	0.000	0	0.000	0	0.000
	AMIT KUMAR	AAEP94098K	0	1319076	0	0	0.512	0	0	0	0.512	0	0.512	0	0.000	0	0.000	0	0.000
	AGARWAL	AACT51516K	0	3208000	0	0	1.247	0	0	0	1.247	0	1.247	0	0.000	0	0.000	3208000	0.000
	AMRIT LAL TOOI	AAWA4111N	0	1704500	0	0	0.683	0	0	0	0.683	0	0.683	0	0.000	0	0.000	94000	0.000
	ANNAND TOOI	ACFP1922M	0	2991870	0	0	1.184	0	0	0	1.184	0	1.184	0	0.000	0	0.000	2991870	0.000
	RANU AGARWAL	AAEFA848JL	0	398000	0	0	0.158	0	0	0	0.158	0	0.158	0	0.000	0	0.000	0	0.000
	ASHUTOSH TOOI	AAPYA3285U	0	152740	0	0	0.059	0	0	0	0.059	0	0.059	0	0.000	0	0.000	0	0.000
	BAURANG LAL TOOI	APLP7620AM	0	114000	0	0	0.044	0	0	0	0.044	0	0.044	0	0.000	0	0.000	114000	0.000
	BAUDEV KUMAR AGARWAL	AAEPA0294J	0	509000	0	0	0.198	0	0	0	0.198	0	0.198	0	0.000	0	0.000	0	0.000
	BAUDEV KUMAR AGARWAL	AAAHB897SP	0	1520000	0	0	0.591	0	0	0	0.591	0	0.591	0	0.000	0	0.000	0	0.000
	BAWARI LAL TOOI	ACFPT1834R	0	3660160	0	0	1.423	0	0	0	1.423	0	1.423	0	0.000	0	0.000	0	0.000
	BAWARI LAL TOOI	AAEH8447TJ	0	308280	0	0	0.120	0	0	0	0.120	0	0.120	0	0.000	0	0.000	0	0.000
	HIMANSHU AGARWAL	ADNPA8672A	0	6506136	0	0	2.531	0	0	0	2.531	0	2.531	0	0.000	0	0.000	0	0.000
	ISHWAR CHAND AGARWAL	ALJPA3438P	0	10329447	0	0	4.017	0	0	0	4.017	0	4.017	0	0.000	0	0.000	0	0.000
	ISHWAR CHAND AGARWAL	AAAHIS398K	0	402920	0	0	0.157	0	0	0	0.157	0	0.157	0	0.000	0	0.000	0	0.000
	AJITENORA AGARWAL	ADNPAT7331Q	0	2194809	0	0	0.854	0	0	0	0.854	0	0.854	0	0.000	0	0.000	0	0.000
	KAILASH CHANDRA AGARWAL	ADNPAT732P	0	13289356	0	0	5.172	0	0	0	5.172	0	5.172	0	0.000	0	0.000	0	0.000
	KAILASH CHANDRA AGARWAL	AAGHK7907L	0	1245600	0	0	0.484	0	0	0	0.484	0	0.484	0	0.000	0	0.000	0	0.000
	MAHNU DEVI TOOI	ADLPT1491N	0	374040	0	0	0.145	0	0	0	0.145	0	0.145	0	0.000	0	0.000	0	0.000
	MOHINIYA AGARWAL	ADJPA5433D	0	408610	0	0	0.158	0	0	0	0.158	0	0.158	0	0.000	0	0.000	0	0.000
	NARAYAN PRASAD TOOI	AABH1144F	0	1279000	0	0	0.487	0	0	0	0.487	0	0.487	0	0.000	0	0.000	0	0.000
	NARAYAN PRASAD TOOI	AACT515171H	0	1203600	0	0	0.468	0	0	0	0.468	0	0.468	0	0.000	0	0.000	0	0.000
	NISHU TOOI	ADLPT8089L	0	439000	0	0	0.170	0	0	0	0.170	0	0.170	0	0.000	0	0.000	0	0.000
	PARUL AGARWAL	ADJPA8558B	0	807000	0	0	0.314	0	0	0	0.314	0	0.314	0	0.000	0	0.000	0	0.000
	PHOOS RAJ TOOI	30-527-PX-5466	0	668000	0	0	0.260	0	0	0	0.260	0	0.260	0	0.000	0	0.000	0	0.000
	PHOOS RAJ TOOI	AAGHF8569M	0	759400	0	0	0.295	0	0	0	0.295	0	0.295	0	0.000	0	0.000	0	0.000
	RAJENDRA AGARWAL	ADSP446452D	0	2467133	0	0	0.960	0	0	0	0.960	0	0.960	0	0.000	0	0.000	0	0.000
	RAJENDRA KUMAR AGARWAL	AAKHR2259G	0	432000	0	0	0.168	0	0	0	0.168	0	0.168	0	0.000	0	0.000	0	0.000
	RUBAL TOOI	ASZPT2187M	0	604400	0	0	0.352	0	0	0	0.352	0	0.352	0	0.000	0	0.000	0	0.000
	SEENA TOOI	ADLPT0608K	0	820600	0	0	0.319	0	0	0	0.319	0	0.319	0	0.000	0	0.000	0	0.000
	SHANTI DEVI AGARWAL	AAEPA0282C	0	1610000	0	0	0.626	0	0	0	0.626	0	0.626	0	0.000	0	0.000	0	0.000
	SHARDA TOOI	AFKPT4981N	0	1383000	0	0	0.538	0	0	0	0.538	0	0.538	0	0.000	0	0.000	0	0.000
	SIMPLE AGARWAL	AAEPA0299R	0	751020	0	0	0.282	0	0	0	0.282	0	0.282	0	0.000	0	0.000	0	0.000
	VISHNU TOOI	ADLPT9516D	0	3359000	0	0	1.306	0	0	0	1.306	0	1.306	0	0.000	0	0.000	0	0.000
	VISHNU TOOI	AAAHV7054C	0	330000	0	0	0.128	0	0	0	0.128	0	0.128	0	0.000	0	0.000	0	0.000
	Government/State Government(s)		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000
	Financial Institutions/Banks		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000
	Any Other Bodies Corporate		10	60811227	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000
	ONG TRADING AND FINVEST (P) LTD.	AABC07476J	0	3750210	0	0	1.459	0	0	0	1.459	0	1.459	0	0.000	0	0.000	0	0.000



	INNOVATION LIMITED	AAOC044800	0	4789800	0	0	4789800	1855	4789800	0	4789800	1855	0	1855	0	0.000	0	0.000	0	4789800
	GENUS INTERNATIONAL COMMODITIES LIMITED	AABC08647F	0	4205000	0	0	4205000	1835	4205000	0	4205000	1835	0	1835	0	0.000	0	0.000	0	4205000
	GENUS POWER INFRASTRUCTURE S. LIMITED	AAOC01218P	0	500000	0	0	500000	0.194	500000	0	500000	0.194	0	0.194	0	0.000	0	0.000	0	500000
	HL PRINT ELECTROMACK PRIVATE LIMITED	AABC03417Q	0	5574300	0	0	5574300	2.168	5574300	0	5574300	2.168	0	2.168	0	0.000	0	0.000	0	5574300
	IC FINANCE PRIVATE LTD	AABC0210Q	0	112800	0	0	112800	0.044	112800	0	112800	0.044	0	0.044	0	0.000	0	0.000	0	112800
	KALASH COAL AND COKE COMPANY LIMITED	AAOC02014Q	0	7928000	0	0	7928000	3.083	7928000	0	7928000	3.083	0	3.083	0	0.000	0	0.000	0	7928000
	KALASH INDUSTRIES LIMITED	AAOC020247L	0	9981580	0	0	9981580	3.874	9981580	0	9981580	3.874	0	3.874	0	0.000	0	0.000	0	9981580
	KALASH VIDYUT AND ISPAT LIMITED	AAOC088885B	0	75000	0	0	75000	0.028	75000	0	75000	0.028	0	0.028	0	0.000	0	0.000	0	75000
	WIVERSHIL DEALERS PVT. LTD.	AAACV9828L	0	23736757	0	0	23736757	9.232	23736757	0	23736757	9.232	0	9.232	0	0.000	0	0.000	0	23736757
	THUSI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0
	Clearing Members		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0
	Sub-Total (A)(1)		47	128806754	0	0	128806754	50.484	128806754	0	128806754	50.484	0	50.484	0	0.000	0	0.000	0	128806754
(2)	Foreign Individuals (Non-Resident Individuals)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0
(a)	Resident Individuals/Foreign Individuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0
(b)	Government		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0
(c)	Residuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0
	Total Shareholding of Promoter and Promoter Group (A)(1)+(A)(2)		47	128806754	0	0	128806754	50.484	128806754	0	128806754	50.484	0	50.484	0	0.000	0	0.000	0	128806754



Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of Shareholders	PAN	No. of Shareholders (M)	No. of equity shares held (N)	No. of equity shares held (O)	No. of Depository Receipts (M)	Total nos. shares held (N)+(O)+(M)	Shareholding as a % calculated as per SCRR, 1957 As a % of (A+B+C2) (N/M)	Number of Voting Rights held in each class of securities			Total as a % of Voting rights	No. of Shares Underlying convertible securities (including Warrants) (M)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (N)+(O) as a % of (A+B+C3)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered (A)	As a % of total Shares held (A)/(N)	Number of equity shares held from (A+B)
								No. of Voting Rights (X)	Total (Y)	Total (Z)				No. (a)	As a % of total Shares held (b)			
(1) Institutions																		
(a) Mutual Funds		7	122945	0	0	122945	0.048	122945	0	0.048	0	0.000	0	0.000	0	0.000	0	22885
(b) Venture Capital Funds		0	0	0	0	0	0.000	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0
(c) Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0
(d) Foreign Venture Capital Investors		1	43000	0	0	43000	0.017	43000	0	0.017	0	0.015	0	0.015	0	0.000	0	0
(e) Foreign Venture Capital Investors		1	38110	0	0	38110	0.015	38110	0	0.015	0	0.015	0	0.015	0	0.000	0	38110
(f) Financial Institutions/Banks		2	6000	0	0	6000	0.002	6000	0	0.002	0	0.002	0	0.002	0	0.000	0	0
(g) Insurance Companies		0	0	0	0	0	0.000	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0
(h) Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0
(i) Foreign Institutional Investors		1	55000	0	0	55000	0.021	55000	0	0.021	0	0.021	0	0.021	0	0.000	0	55000
(j) GFI - Corporate Sub-Total (B)(1)		12	285055	0	0	285055	0.103	285055	0	0.103	0	0.103	0	0.103	0	0.000	0	117055
Central Government/State Government/PSU/Deptt of India Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0
(3) Non-institutions		0	0	0	0	0	0.000	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0
(a) Individuals		0	0	0	0	0	0.000	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0
(b) Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs		14070	3653843	0	0	3653843	14.210	3653843	0	14.210	0	14.210	0	14.210	0	0.000	0	3418408
(c) Individual shareholders holding nominal share capital up to Rs. 2 Lakhs		16	61191599	0	0	61191599	23.788	61191599	0	23.788	0	23.788	0	23.788	0	0.000	0	61191599
(d) Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs		0	47543850	0	0	47543850	18.490	47543850	0	18.490	0	18.490	0	18.490	0	0.000	0	47543850
(e) Individual shareholders holding nominal share capital up to Rs. 2 Lakhs		0	3052400	0	0	3052400	1.187	3052400	0	1.187	0	1.187	0	1.187	0	0.000	0	3052400
(f) Employees Trusts		0	0	0	0	0	0.000	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0
(g) Chartered Accountants		0	0	0	0	0	0.000	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0
(h) Directors/Managing Director		0	0	0	0	0	0.000	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0
(i) Any Other		0	0	0	0	0	0.000	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0
(j) Bodies Corporate		238	16322967	0	0	16322967	6.348	16322967	0	6.348	0	6.348	0	6.348	0	0.000	0	16322967
(k) OBT - Individual		0	0	0	0	0	0.000	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0
(l) NRI/OCBs		407	10080708	0	0	10080708	3.921	10080708	0	3.921	0	3.921	0	3.921	0	0.000	0	10080708
(m) RAJESH BATHIA		0	8150900	0	0	8150900	2.992	8150900	0	2.992	0	2.992	0	2.992	0	0.000	0	8150900
(n) TRUSTS		0	0	0	0	0	0.000	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0
(o) Clearing Members		124	2920014	0	0	2920014	1.136	2920014	0	1.136	0	1.136	0	1.136	0	0.000	0	2920014
(p) Sub-Total (B)(3)		14095	127054131	0	0	127054131	48.413	127054131	0	48.413	0	48.413	0	48.413	0	0.000	0	123620376
Total Public Shareholding		14667	127319186	0	0	127319186	49.516	127319186	0	49.516	0	49.516	0	49.516	0	0.000	0	123727431

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

Category & Name of shareholders (i)	PAN (ii)	Nos. of shareholders (iii)	No. of fully paid-up equity shares held (iv)	No. of partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipt (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii)/(X) as a % of (A+B+C)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
								Class x	Class Y	Total	Total as a % of Total Voting rights			(a)	(b)	(a)	(b)	
(1)																		
(2)																		
Total Non-Promoter - Non-Public Shareholding (C)=(C1)+(C1)+(C2)																		

